

2023 Spring Federal Programs Directors' Meeting

May 16, 2023 9:00am – 4:00pm

Today's Agenda - Morning

- Welcome & Attendance
(<https://bit.ly/ESC11attendance>)
- Team Introductions
- Upcoming TEA Trainings
- Title I, Part C (Migrant) Updates
- Title III Updates
- ESSA PNP Equitable Services Updates
- ACET Updates
 - Federal Programs Calendar



- Title I, Part A Updates
 - 22-23 Comparability Results
 - 23-24 ICRP Certification Due
 - 22-23 Carryover
 - 23-24 Planning Amounts / SAIPE Updates
 - 23-24 Consolidated Application
 - SC5003
 - Provisions & Assurances
 - Program Guidelines
 - SC5000
 - 22-23 Consolidated Compliance Report
- Title II, Part A Updates
- Title IV, Part A Updates
- ESSER Updates



Today's Agenda - Afternoon

Same Zoom link!

- Welcome & Attendance

(<https://bit.ly/ESC11attendance>)

- Title I, Part A

- Intent & Purpose
- SNS Methodology
- PFE Requirements
- Comprehensive Needs Assessment
- Campus Improvement Plans
- LEA Plan / DIP

- Title I, Part D

- Intent & Purpose
- Requirements
- Eligibility
- How to Report a New Facility



- Title II, Part A

- Intent & Purpose
- Stakeholders
- Consultation
- Coordination
- Prioritization of Funds
- System of Professional Growth & Development
- Use of Funds

- Title IV, Part A

- Intent & Purpose
- Three Content Areas
- Comprehensive Needs Assessment
- Required Stakeholders
- Objectives & Outcomes
- Use of Funds



Federal Programs Team +



Gretchen Kroos
Coordinator, Instructional Services
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Title I, Part D
PNP Equitable Services



Christie Miller
Federal Programs Team Lead
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Title I, Part A
Title II, Part A
Title IV, Part A
ESSER I, II, III



Corya Campbell
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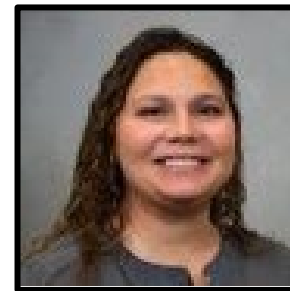
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Migrant & Title III Teams +



Keilah Villarreal
Migrant Specialist
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Title I, Part D



Michelle Perez
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Title I, Part D



Melanie Yocom
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Title III



Indhira Salazar
EL Coach
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Title III



Gabriel Verrone
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Danyatta Harrell
Team Lead for Mental Health and School Counseling
dharrell@esc11.net



Open Office Hours

Through August:
Mondays 10:30am – 12:00noon
Thursdays 3:00pm – 4:30pm

Zoom link:
<https://esc11.zoom.us/j/9575816479>

Calendar available on the ESC Region 11
Federal Programs web page – I will update
this calendar to remove any exceptions due
to TEA conflicts!

REQUEST PD
Coaching & Consulting

SITE SHORTCUTS

Business Center

Career and Technical Education

Child Nutrition

Contracts

Digital Learning

Federal Programs

Instructional Services

Public Information Requests

Special Programs

Sponsorship Opportunities

Superintendents' Corner

Technology Services

Customize Calendar View

May 2023

Month

Day

List

Sun	Mon	Tue	Wed	Thu	Fri	Sat
30	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25 Federal Progra...	26	27
28	29 ESC11 Federal ...	30	31	1	2	3

TEA GRANT NEGOTIATORS

ESSA & ESSER Grants

Kenneth Thomas (512) 463-9215 Kenneth.Thomas@tea.texas.gov

IDEA-B & PERKINS

Christie Mizzell-James (512) 463-8424 Christie.MizzellJames@tea.texas.gov

Upcoming Trainings - May

May 23, 2023 – 9:00 a.m.

Title I, Part C: A Deep Dive into the Title I, Part C Priority for Service Implementation Process

https://txr20.escworks.net/catalog/session.aspx?session_id=94136

May 23, 2023 – 10:30 a.m.

Title I, Part C (Education of Migratory Children) Program Compliance Report and Self-Check

https://txr20.escworks.net/catalog/session.aspx?session_id=94431

May 25, 2023 – 10:00 a.m.

Supplement, Not Supplant

https://txr20.escworks.net/catalog/session.aspx?session_id=94137



Upcoming Trainings - June

June 1, 2023 – 9:00 a.m.

Private Nonprofit Equitable Services (PNP) Let's Make a Deal: An LEA's Provision of Providing Equitable Services, Ongoing Consultation Meetings, and Shared Collaboration with Participating Private Nonprofit Schools

https://txr20.escworks.net/catalog/session.aspx?session_id=94138

June 1, 2023 – 10:30 a.m.

Private Nonprofit Equitable Services (PNP) Program Compliance Report and Self-Check

https://txr20.escworks.net/catalog/session.aspx?session_id=94432

June 6, 2023 – 9:00 a.m.

ESSA Consolidated Application

[https://zoom.us/webinar/register/WN_MnYhFzJbTvuidO7fqQ7Gxg#/registration.](https://zoom.us/webinar/register/WN_MnYhFzJbTvuidO7fqQ7Gxg#/registration)

June 8, 2023 – 9:00 a.m.

Title I, Part A (Improving Basic Programs) Within - LEA Allocations and SC5000 Title I, Part A Campus Selection Schedule

https://txr20.escworks.net/catalog/session.aspx?session_id=94140



Upcoming Trainings – June (cont.)

June 13, 2023 – 9:00 a.m.

Title IV, Part A The Price is Right: Aligning Title IV, Part A Program Funds to District Needs

https://txr20.escworks.net/catalog/session.aspx?session_id=94141

June 13, 2023 – 10:30 a.m.

Title IV, Part A (Student Support and Academic Enrichment) Program Compliance Report and Self-Check

https://txr20.escworks.net/catalog/session.aspx?session_id=94433

June 22, 2023 – 9:00 a.m.

Title I, Part D (Prevention and Intervention for Delinquent, Neglected, and At-Risk Youth) Program Compliance Requirements

https://txr20.escworks.net/catalog/session.aspx?session_id=94135

June 22, 2023 – 10:30 a.m.

Title I, Part D (Prevention and Intervention for Delinquent, Neglected, and At-Risk Youth) Program Compliance Report and Self-Check

https://txr20.escworks.net/catalog/session.aspx?session_id=94430



Upcoming Trainings - July

July 20, 2023 – 9:00 a.m.

ESC Region 11 ESSA Basics for New Federal Programs Directors

<https://registration.esc11.net/catalog/sessions/28382>



Title I, Part C Updates

Title III Updates

Break time!



ESSA PNP Equitable Services Updates

22-23 ESSA PNP Equitable Services

- **PNP Equipment**

- Be sure you have plans to inventory all equipment held by participating PNPs
- TEA has expressed concern about a number of PNPs potentially closing unexpectedly. TEA recommends that any equipment be stored at the LEA over the summer.
- At the least, have a current inventory and ensure the equipment is adequately secured at the PNP's location.

- **PNP Affirmations**

- LEAs must ensure **2022-2023 Affirmation of Consultation** forms submitted in ESSA Reports correctly reflect each participating PNP schools and its corresponding program(s) for equitable services by the ESSA Consolidated Federal Grant Application amendment deadline.
- If a PNP school decided not to participate *or* there were any participating PNP program changes for equitable services **after** an *Affirmation of Consultation* form was submitted, the LEA must upload a **revised** 2022-2023 Affirmation to ESSA Reports (under 2022-2023/PNP Affirmation) no later than **June 2, 2023**.



23-24 ESSA PNP Equitable Services

- **Reminders**

- **Private schools receive services only.** Funds do not flow to private schools or to facilities for the neglected and delinquent.
- As with children attending public schools, **Title I, Part A funds may not be used to identify those private school children who would be eligible to participate.** However, Title I, Part A funds **may be used to select participants** from those who are eligible and to determine specific educational needs of participating children.
- Services to private school children **may be provided in subject areas or grade levels that are different from those provided to public school students.**
- All activities involving private schools **must be supported by auditable documentation.**



23-24 ESSA PNP Equitable Services

- **Timely and Meaningful Consultation**

- What programs will the PNP participate in?
- How will needs be identified?
- What services will be offered?
- How, where, and by whom will the services be offered?
- How will the services be academically assessed, and how will that assessment be used to improve the services?
- What is the proportionate share of funds/services that are being offered to the PNP? How was that share calculated?
- All services and materials shall be secular, neutral, and non-ideological.
- All materials and equipment remain the property of the LEA and must be returned to the LEA at the end of the PNP's participation in Equitable Services.
- Services provided must be supplemental to what would be provided in the absence of ESSA Equitable Services.
- No facilities repair, remodeling, or construction
- ESSA equitable services cannot provide substitutes for PNPs.



ESSA PNP Equitable Services Resources

- **TEA PNP Equitable Services Webpage**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/essa-and-cares-act-esser-private-school-equitable-services>
- **PNP Program Guide**
 - <https://tea.texas.gov/sites/default/files/pnpprogramguide.pdf>
- **PNP Frequently Asked Questions**
 - <https://tea.texas.gov/sites/default/files/PNPFAQ.pdf>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>

PNP Ombudsman
Private School Services
LaNetra Guess, Director
Federal Program Compliance Division
PNPombudsman@tea.texas.gov
512-463-9499



ACET Updates

2023 Fall Conference

October 25-27, 2023

Omni Mandalay Hotel, Las Colinas, Texas

2024 Spring Conference

April 24-26, 2024

Location: TBD



ACET

ACET Regional Director

Leigh Cook

Director of State & Federal Programs

Keller ISD

817-744-1296

leigh.cook@kellerisd.net

<https://www.acetx.org>



Spring ACET Updates

- **Fiscal Monitoring** - focus of many sessions – We will be inviting them to present to both programmatic and business office staff in ESC Region 11. Details to come...
- **State Compensatory Education** – Dahlinda Alaniz – will also offer a virtual training for ESC Region 11 LEAs.
- New Resource ***Federal Programs Planner*** – available [online](#). Participants received a hard copy at the last session.
- TIVA Updates – **The Price is Right: Aligning Title IV, Part A Program Funds to District Needs – Session 94141** will be repeated for LEAs on 6/22/23 from 9:00-10:15. See statewide training series to [register](#). Followed at 10:30-11:45 am, by **Title IV, Part A Program Compliance Report and Self-Check – Session ID 94433** register [here](#).



[Programs and Services](#) » [Statewide ESSA Title I](#) » Statewide ESSA Title - Part A Capacity Building Initiative

Statewide ESSA Title - Part A Capacity Building Initiative

The Title I, Part A Capacity Building Initiative is funded by the Texas Education Agency and produced by Education Service, Region 20. This website serves as a central location for Title I, Part A resources on compliance and best practice.

The purpose of this program is to provide sustained support and work cooperatively with Title I, Part A and Title I, Part D, Subpart 2 campuses in implementing Title I, Part A and Title I, Part D, Subpart 2 programs to increase the opportunity for all students in meeting the State's content and student performance standards. This grant provides services and resources for ESCs and LEAs statewide.

For more information, please contact TICB@esc20.net

Jamie Goodwin

Project Manager, Federal Programs

✉ Email Jamie Goodwin ☎ 210-370-5694

Alexandra (Alex) Dominguez

Coordinator III

Statewide ESSA Title - Part A Capacity Building Initiative

TEA Priorities

ESSA

Federal Program Planner

Title I, Part A

CCNA Resource Toolkit

Schoolwide Program
Resources

Title I, Part D

ESSA Title I

Federal Program Planner

This Federal Programs Planner is intended to be a supportive tool for Directors working with Federal Programs in LEAs (ISDs and Charters). Each month, you will find that there are activities/reminders to be considered across programs;

- Title I, Part A (TIA);
- Title I, Part C (TIC);
- Title I, Part D (TID);
- Title II, Part A (TIIA);
- Title IV, Part A (TIVA); and
- Private Non-Profit (PNP).

As many of the programs overlap across years, activities and funds, we have color coded the calendar:

- **BLUE** - considerations/actions for the current school year;
- **RED** - finalize/wrap up the previous school year; and
- **GREEN** - planning for the upcoming school year.

Additionally, there are three planning pages for each month as well as reminders and reflections.

At the end of the planner, you will also find a list of commonly used acronyms for this world of federal programs, grants and compliance.

For your convenience, this planner is available as a full page or 2 page per sheet download. It is also set up as a fillable document that can be typed on directly and saved.

As always, the Texas Education Agency Federal Program Compliance Division is here to support you and appreciates all the work you do in support of the children in Texas.

We hope you find this planner helpful in the upcoming year

Statewide ESSA Title -
Part A Capacity Building
Initiative

TEA Priorities

ESSA

Federal Program Planner

Title I, Part A

CCNA Resource Toolkit

Schoolwide Program
Resources

Title I, Part D

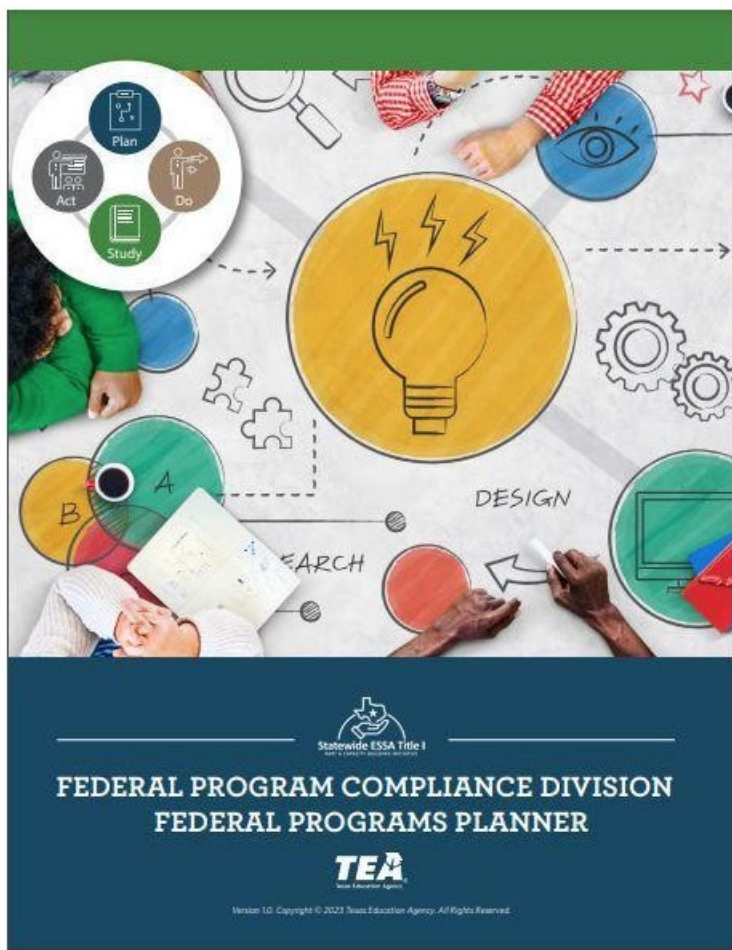
ESSER I-II-III

Private Nonprofit (PNP)

Committee of
Practitioners (COP)

Calendar

Grants



[Click here to access the Planner - Full Pages Download](#)

Break time!



Title I, Part A Updates

Title I, Part A Comparability

- LEAs are reviewed every other year
- All reviewed ESC Region 11 LEAs were in compliance for 22-23
- To download your comparability determination letter:
 - Log on to TEAL.
 - Select “GFFC Reports and Data Collections.”
 - Select “Notification Letter – Comparability” from the Report Title drop-down menu.
 - Select “2022–2023” from the School Year drop-down menu.



Indirect Cost Rate Certification – Due 5/19

- The ICRP is available in the secure **GFFC Reports and Data Collections** application, accessible through TEAL. Instructions for completing the ISD review/certification process are available in the ICRP Certification Instructions posted on the Indirect Cost Rates webpage . The Certification Instructions describe the ICRP review process and provide step-by-step instructions on how to certify and submit the ICRP Certification. The certification serves as the ISD's approval of the final restricted and unrestricted indirect cost rates for 2023–2024.



Title I, Part A Carryover

June 2nd – deadline for final
22-23 ESSA Amendments!

- Title I is the only ESSA program that has a statutory limitation on carryover
- Carryover is limited to 15% of final allocation
 - Including any funds transferred into Title I, Part A from Title II or Title IV
 - Not including prior year carryover or reallocation
- To calculate carryover percentage:
 - Carryover amount divided by prior year final amount (incl transferred funds)
- If carryover percentage is greater than the 15% limitation, the release of carryover funds will be delayed until a waiver is negotiated
 - Statutory Waiver – once every three years
 - Ed-Flex Waiver – increase between initial planning amount and final allocation
- If the LEA is not eligible for a waiver, the carryover funds will be reduced to 15% (including any carryover from Title II or Title IV funds that were transferred into Title I, Part A)



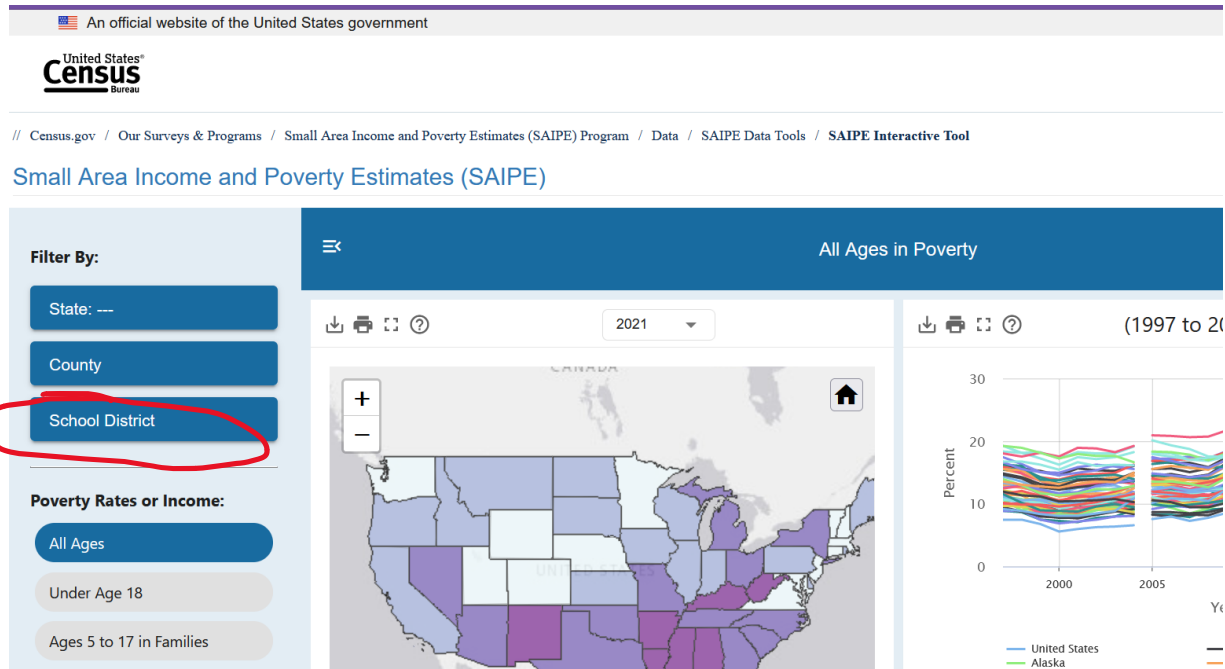
23-24 Title I, Part A Planning Amounts

- 23-24 ESSA Planning Amounts are available at <https://tea.texas.gov/sites/default/files/2023-2024-essa-consolidated-planning-amounts-by-lea.pdf>.
- Overall, any significant decreases in funding were due to the district's eligibility for one of the four pieces of Title I, Part A:
 - Basic Grant – Approximately 45% of total TI-A funding – 2% census poverty and at least 10 formula children
 - Concentration Grant – Approximately 9% of total TI-A funding – 15% census poverty (or 6500 children in census poverty count) and at least 10 formula children
 - Targeted Grant – Approximately 23% of total TI-A funding – 5% census poverty and at least 10 formula children
 - Education Finance Incentive Grant (EFIG) – Approximately 23% of total TI-A funding – 5% census poverty and at least 10 formula children
- Census / Small Area Income and Poverty Estimates (SAIPE) notes:
 - Ages 5-17
 - Census poverty is **not equal to free/reduced lunch** poverty level
 - Based on district geographic boundaries
 - TEA adjustments for Charter Schools and interdistrict transfers



Census SAIPE

- <https://www.census.gov/data-tools/demo/saipe/#/>



23-24 ESSA Consolidated Application

- SC5003
 - Provisions and Assurances
 - Program Guidelines
- ADC
 - Must complete before the application will open
- Gun Free Schools Report
 - Do NOT submit until after the final day of instruction!
 - Must submit before TEA will issue a NOGA for 23-24

2. Select the appropriate program(s) for the Lobbying Certification.
- ☐ ESSA ☐ Perkins ☐ Special Education (Federal)
3. The Lobbying Certification should be attached to the selected grant application(s) during original submission. Instructions for completing and attaching the [Disclosure of Lobbying Activities](#) form.
- Print and sign the form.
 - Scan the signed form and save it to your desktop.
 - Click the **Attach Files** on the Table of Contents page of each applicable eGrant application to attach your signed form.

Lobbying Certification

B. Program Specific Guidelines and Provisions and Assurances

ESSA	Perkins	Special Education
Program Guidelines	Program Guidelines	Program Guidelines
Program Specific Provisions and Assurances	Program Specific Provisions and Assurances	Program Specific Provisions and Assurances

C. Program Guidelines and Requirements Certification

☐ Checking this box certifies that this organization accepts and is in compliance with all the above-mentioned [applicable](#) guidelines and requirements.

Part 3: Certification and Incorporation

Certification and Incorporation Statement

I hereby certify that the information contained in this Special Collections Report is, to the best of my knowledge, correct and that the organization named above has authorized me as its representative to submit this application for funding under the provisions, assurances, and certification requirements; and the schedule submitted. It is understood by the applicant that this application constitutes an agreement to the terms and conditions of the grant.

Authorized Official

First Name: _____ Middle Initial: _____ Last Name: _____
Phone: _____ Ext: _____ E-Mail: _____

Submitter Information

First Name: _____ Last Name: _____
Approval ID: _____ Submit Date and Time: _____



23-24 ESSA Consolidated Application

- SC5000 – Campus Selection
 - No longer validated as part of the initial NOGA process
 - Will be reviewed as part of the post-award compliance process
 - Handbook <https://tea4avcastro.tea.state.tx.us/eGrants/Instructions/SC5000Guidance-2022.pdf>
 - Selection boxes on the application have changed slightly – separated how the campus is qualifying for Title I and how the campus is qualifying as schoolwide
 - Caution around DAEP campuses

June 8, 2023 – 9:00 a.m.

Title I, Part A (Improving Basic Programs) Within - LEA Allocations and SC5000 Title I, Part A Campus Selection Schedule

https://txr20.escworks.net/catalog/session.aspx?session_id=94140

June 6, 2023 – 9:00 a.m.

ESSA Consolidated Application

[https://zoom.us/webinar/register/WN_MnYhFzJbTvuidO7fqQ7Gxg#/registration.](https://zoom.us/webinar/register/WN_MnYhFzJbTvuidO7fqQ7Gxg#/registration)



22-23 ESSA Consolidated Compliance Report

- TEA Statewide Training Series
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>
- Compliance Report and Self Check session for each program
 - Session recordings will be posted at that same page!



Title I, Part A Resources

- **TEA Title I, Part A Webpage**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/title-i-part-a-improving-basic-programs>
- **Title I, Part A Program Guide**
 - <https://tea.texas.gov/sites/default/files/T1AProgramGuide.pdf>
- **Title I, Part A Frequently Asked Questions**
 - <https://tea.texas.gov/sites/default/files/T1AFAQ.pdf>
- **Title I, Part A Campus Selection & SC5000 Handbook**
 - <https://tea4avcastro.tea.state.tx.us/eGrants/Instructions/SC5000Guidance-2022.pdf>
- **Title I, Part A Use of Funds**
 - <https://tea.texas.gov/sites/default/files/T1AUseOfFunds.pdf>
- **Title I, Part A Statewide Initiative Resources**
 - <https://www.esc20.net/apps/pages/statewide-essa-title-i>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>



Title II, Part A Updates

Title II Prioritization of Funds

- Statute requires you to prioritize funds for **School Improvement** campuses and **High Need** campuses
- **High Need Schools** are defined as low-income schools with high percentages of *ineffective teachers* and high percentages of *students who do not meet state academic standards*.
- Include a **statement in the DIP** describing how the LEA prioritized funds to campuses in School Improvement and campuses serving Title I students.
- **Maintain documentation** of Title II activities and their costs for each campus **demonstrating prioritization**.
- Be sure campuses include **Title II funding source and strategies** in their **CIP**.



Title II Prioritization of Funds

Sample of Supporting Documentation

Campus Name	School Improvement (Y/N)	Poverty %	Title II, Part A
Campus A Elementary	N	76%	\$25,000
Campus B Middle	Y	70%	\$25,000
Campus C Elementary	N	50%	\$20,000
Campus D Middle	N	52%	\$20,000
Campus E Elementary	N	45%	\$20,000
Campus F High School	N	42%	\$15,000
TOTAL	--	--	\$125,000

Campus Name	School Improvement (Y/N)	Poverty %	Title II, Part A
Campus A Elementary	N	76%	\$25,000
Campus B Middle	Y	70%	Needs met by SIG
Campus C Elementary	N	50%	\$25,000
Campus D Middle	Y	52%	Needs met by SIG
Campus E Elementary	N	45%	\$25,000
Campus F High School	N	42%	\$20,000
TOTAL	--	--	\$95,000



Title II, Part A Resources

- **TEA Title II, Part A Webpage**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/title-ii-part-a-supporting-effective-instruction>
- **Title II, Part A Program Guide**
 - <https://tea.texas.gov/sites/default/files/T2AProgramGuide.pdf>
- **Title II, Part A Frequently Asked Questions**
 - <https://tea.texas.gov/sites/default/files/T2AFAQ.pdf>
- **Title II, Part A Use of Funds**
 - <https://tea.texas.gov/sites/default/files/t2auseoffunds.pdf>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>



Break time!



Title IV, Part A Updates

Title IVA Funding Question Tool

1

Have TIVA Stakeholders identified this activity in the Needs Assessment (>\$30,000)?
Can this activity be identified as an objective with measurable outcomes?

2

Is this a reasonable/necessary activity? Does it meet the intent and purpose of TIVA grant?

Check Federal Resources:

- *[TEA Title IV, Part A Website](#)
- *[Title IV, Part A Program Guide](#)
- *[Title IV, Part A Frequently Asked Questions](#)
- *[U.S. Department of Education Non-Regulatory Guidance: Student Support and Academic E Grants](#)
- *[TIVA Easy Search Statute](#)

Y

N

Supplement/ Supplant Checks

3

Is the topic a State Requirement?
[Title IVA SSSI Webpage](#)

Y

Not an allowable use.



4

Have you used state/local funds in the CY or previous year (including grants) for this activity?

Y

Supplant. Not an allowable use.

N

Supplement
[ESSA, Section 4110](#)

Were state requirements previously met in CY or PY and this would be over and above?*

N

Not an allowable use.

Y

5

Use of Funds Criteria - Ensure all checked:



6

*Contemporaneous Documentation
(Follow records retention)

Allowable

TEA has final approval

Website Version

LEA Title IV, Part A Special Data Collection (SDC) 2022-2023

- Title IV, Part A Special Collection Method
 - TEA FPC WorkApp
- Submission Requirements
 - 7/1/2022 – 9/30/2024
 - USDE 27-month performance period
 - 2 Title IV, Part A Program Objectives *and* Measurable Outcomes for current year
 - Established through stakeholder consultations
 - Indicate Content Area(s) per objective and measurable outcome

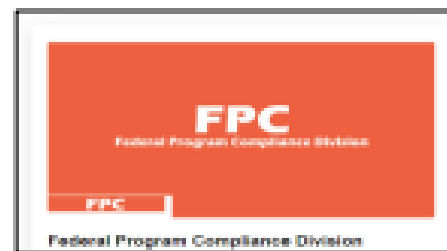
LEA WorkApp Report Opens

- **January 16, 2023***

LEA Data Due:

- **March 16, 2023***

 smartsheet WorkApps



Title IV, Part A Resources

- **TEA Title IV, Part A Webpage**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/title-iv-part-a-student-support-and-academic-enrichment>
- **Title IV, Part A Program Guide**
 - <https://tea.texas.gov/sites/default/files/T4AProgramGuide.pdf>
- **Title IV, Part A Frequently Asked Questions**
 - <https://tea.texas.gov/sites/default/files/T4AFAQ.pdf>
- **Title IV, Part A Use of Funds**
 - <https://tea.texas.gov/sites/default/files/t4auseoffunds.pdf>
- **School Safety Statewide Initiative**
 - <https://www.esc14.net/page/t4si>
- **Texas School Safety Center**
 - <https://txssc.txstate.edu/>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>



ESSER Updates

ESSER Grant Dates

ESSER I, ESSER II, and ESSER III Side by Side Requirements Document

Topic	ESSER I (CARES Act)	ESSER II (CRRSA Act)	ESSER III (ARP Act)
Fund Code	266	281	282
Start Date for Pre-award Costs	March 13, 2020	March 13, 2020	March 13, 2020
NOGA End Date before Carryover	9/30/2021	9/30/2022	9/30/2023
Period of Availability including Carryover	March 13, 2020 - September 30, 2022	March 13, 2020 - September 30, 2023	March 13, 2020 - September 30, 2024
LEA Close Out Period	60 days	90 days	90 Days
Amendment Deadline (including carryover)	Amendments must be submitted to Grants Administration by: 7/5/2022	Amendments must be submitted to Grants Administration by: 7/5/2023	Amendments must be submitted to Grants Administration by: 7/5/2024

* USDE has now indicated that there will be extended liquidation available for ESSER II. Funds must be obligated prior to the end of the grant period (9/30/2023) and must have a valid reason for not being able to liquidate prior to the end of the close-out period.



ESSER III – 20% Set Aside for Learning Loss

- Reminder! You must spend **20%** of your ESSER III grant allocation on activities to address **learning loss**!
- Since we are coming into the final year of the grant, review your current status and be sure your planned expenditures will meet this requirement
- These expenditures must be reflected in your ESSER III annual USDE report.
 - Two sections for reporting – one for the 20% Learning Loss Set Aside and the other for the remaining 80%
 - Headings are virtually identical – be mindful of where you put your expenditure totals for each category



ESSER – Expenditure Transfer Voucher (ETV)

- Explanation Video
 - <https://tea.sharefile.com/share/view/s6dc2a918f8f34a22a3e14cce38db6661>
- For LEAs with balances left in ESSER I, USDE allowed TEA to create an Expenditure Transfer Voucher to transfer expenses from ESSER II to ESSER I to essentially zero out the ESSER I funds.
- Created an expense in your ESSER I grant, and a credit to your ESSER II grant.
- Must adjust GL and your SEFA (schedule of expenditures in federal award) – your finance or business office will know how to handle these
- We anticipate a similar process to close out ESSER II if you have remaining ESSER II funds available.



ESSER Resources

- **TEA ESSER Webpage**
 - <https://tea.texas.gov/finance-and-grants/grants/elementary-and-secondary-school-emergency-relief-esser-grant-programs>
- **TEA ESSER Side-by-Side Document**
 - <https://tea.texas.gov/sites/default/files/esser-side-by-side.pdf>
- **ESSER Frequently Asked Questions**
 - <https://app.smartsheet.com/b/publish?EQBCT=f4b5d82938764f1f81573845021e58ed>
- **ESSER ETV Video (Expenditure Transfer Vouchers)**
 - <https://tea.sharefile.com/share/view/s6dc2a918f8f34a22a3e14cce38db6661>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>



Questions?

Feedback?

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Today's Agenda - Afternoon



- Welcome & Attendance

(<https://bit.ly/ESC11attendance>)

- Title I, Part A

- Intent & Purpose
- SNS Methodology
- PFE Requirements
- Comprehensive Needs Assessment
- Campus Improvement Plans
- LEA Plan / DIP
- 22-23 Random Validations

- Title I, Part D

- Intent & Purpose
- Requirements
- 22-23 Random Validations

- Title II, Part A

- Intent & Purpose
- Stakeholders
- Consultation
- Coordination
- Prioritization of Funds
- System of Professional Growth & Development
- Use of Funds
- 22-23 Random Validations

- Title IV, Part A

- Intent & Purpose
- Three Content Areas
- Comprehensive Needs Assessment
- Required Stakeholders
- Objectives & Outcomes
- Use of Funds
- 22-23 Random Validations



Title I, Part A

Title I Reminders

- **Intent and Purpose**

- To ensure that all children, particularly low achieving children in the highest poverty schools, have a fair, equal, and significant opportunity to obtain a high-quality education and reach, at a minimum, proficiency on challenging state academic standards and state academic assessments.

- **PNP Equitable Services**

- <https://tea.texas.gov/finance-and-grants/grants/essa-program/essa-and-cares-act-esser-private-school-equitable-services>

- **Use Of Funds**

- <https://tea.texas.gov/sites/default/files/T1AUseOfFunds.pdf>

- **PFE Requirements**

- <https://www.esc16.net/apps/pages/PFETexas>



Title I – other reminders

- **Title I, Part A Supplement Not Supplant Methodology**
 - Statement of Exemption
 - Documentation of Methodology AND implementation of methodology
 - The LEA has either a formal Supplement, Not Supplant Methodology for distributing **State and local funds** in order to ensure that each Title I campus receives all the State and local funds that it would receive in the absence of Title I funds; or, it has a formal Statement of Exemption.
[Section 1118(b)]
 - [Supplement Not Supplant Handbook](#)
- **Comprehensive Needs Assessment**
- **District Improvement Plan (Title I Program Plan)**
- **Campus Improvement Plans**



ESSA Random Validations – Title I, Part A

- Supplement, Not Supplant Methodology (or Exemption)
 - Copy of Statement of Exemption
 - Is the LEA eligible for an exemption?
 - OR Copy of SNS Methodology PLUS Summary page(s) from general ledger
 - Is the methodology Title I neutral?
 - Does it describe how state/local funds are allocated to campuses?
 - Summary page(s) from general ledger should reflect total budget amount allocated to each campus, which should align with stated methodology.
- Schoolwide Program Campus Comprehensive Needs Assessment
 - Relevant page(s) of the CNA or CIP that include the *description* of the campus's comprehensive needs assessment process for the current school year
 - CNA dates, stakeholders, areas examined, data sources analyzed
 - Documentation for *one* meeting
 - Meeting agenda, meeting notes or minutes, participant roster (including stakeholder names and roles)
 - Each piece of meeting documentation should include: Meeting Title, Campus Name, Location, Date, and Time



ESSA Random Validations – Title I, Part A

- Schoolwide Program Plan / Campus Improvement Plan Stakeholders
 - Relevant page(s) of the current school year's CIP that include a list of stakeholders involved in the development of the plan – including names and roles
 - Documentation for *one* meeting
 - Meeting agenda, meeting notes or minutes, participant roster (including stakeholder names and roles)
 - Each piece of meeting documentation should include: Meeting Title, Campus Name, Location, Date, and Time
- Campus Written Parent and Family Engagement Policy
 - Relevant page(s) of the current school year Campus Written Parent and Family Engagement Policy that references the *description* of the *method(s) of policy distribution* and the *language(s)* in which the policy was distributed to parents and families.
 - Current school year documentation for *one* method of distribution as identified in the policy
 - Documentation examples might include: student handbook, campus website, direct mail, e-mail, student registration distribution, parent-teacher conference distribution
 - Should align to the policy description of how distribution will take place.



ESSA Random Validations – Title I, Part A

- Ed-Flex Waiver: Title I, Part A Schoolwide Program Eligibility
 - When the LEA first requests a Schoolwide Eligibility Waiver for a campus by checking the corresponding box on the SC5000 in the Consolidated Federal Grant Application, the LEA is instructed to complete a Supporting Documentation Form, to be maintained locally and to be available upon request.
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/ed-flex-waivers>
 - If the original form for the campus selected is no longer available, the LEA must complete and submit the Supporting Documentation Form that is currently on the web site:
<https://tea.texas.gov/sites/default/files/EDFLEXSWVRDOC.docx>



ESSA Random Validations – Title I, Part A

- Unsafe School Choice Option

- Unsafe School Choice Option Program Requirement - USCO Policy

The LEA, as a condition of receiving funds under the Every Student Succeeds Act, must establish and implement a policy requiring that

- a student attending a persistently dangerous public elementary school or secondary school (as determined by the Texas Education Agency), or
- a student who becomes a victim of a violent criminal offense, while in or on the grounds of a public elementary or secondary school that the student attends, is offered and allowed to attend a safe public elementary or secondary school within the local educational agency, including a public charter school.

- Required Documentation:

- 1.1 Copy of policy approved as per LEA policies and procedures
- 1.2 Documentation that any student who has been affected by either of the circumstances mentioned in the USCO Policy requirements has been offered the opportunity to transfer (If applicable)



ESSA Random Validations – Title I, Part A

- Title V, Part B- Rural and Low-Income School Program

- **Use of Funds** – Grant funds awarded to local educational agencies under this subpart shall be used for any of the following:

- (1) Activities authorized under part A of title I.
- (2) Activities authorized under part A of title II.
- (3) Activities authorized under title III.
- (4) Activities authorized under part A of title IV.
- (5) Parental involvement activities.

- **Documentation Required**

The LEA provided the relevant pages of the District and/or Campus Improvement Plan and referenced the section(s) that list the program-specific (Title I, Part A; Title II, Part A; Title III; Title IV, Part A; or Parental Involvement) activities implemented to meet goal 1 (increase student academic achievement) and/or goal 2 (decrease the dropout rate).



Title I, Part A Resources

- **TEA Title I, Part A Webpage**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/title-i-part-a-improving-basic-programs>
- **Title I, Part A Program Guide**
 - <https://tea.texas.gov/sites/default/files/T1AProgramGuide.pdf>
- **Title I, Part A Frequently Asked Questions**
 - <https://tea.texas.gov/sites/default/files/T1AFAQ.pdf>
- **Title I, Part A Campus Selection & SC5000 Handbook**
 - <https://tea4avcastro.tea.state.tx.us/eGrants/Instructions/SC5000Guidance-2022.pdf>
- **Title I, Part A Use of Funds**
 - <https://tea.texas.gov/sites/default/files/T1AUseOfFunds.pdf>
- **Title I, Part A Statewide Initiative Resources**
 - <https://www.esc20.net/apps/pages/statewide-essa-title-i>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>



Title I, Part D

Title I, Part D

- **Intent and Purpose**

- To improve educational services for children and youth in local, tribal, and state institutions for neglected or delinquent children and youth so that such children and youth have the opportunity to meet the same challenging state academic standards that all children in the state are expected to meet;
- To provide such children and youth with the services needed to make a successful transition from institutionalization to further schooling or employment; and
- To prevent at-risk youth from dropping out of school, and to provide dropouts, and children and youth returning from correctional facilities or institutions for neglected or delinquent children and youth, with a support system to ensure their continued education and the involvement of their families and communities.



ESSA Random Validations – Title I, Part D

- Title I, Part D, Subpart 2-Prevention and Intervention Programs for Children and Youth Who Are Neglected, Delinquent, or At-Risk
 - **Formal Written Agreement**

The LEA has a formal, written agreement with each local facility it served under Title I, Part D, Subpart 2 and does the agreement address the program that was provided by the LEA, as well as the responsibilities of the facility.
 - **Documentation Required**

Documentation of formal agreements between the LEA and applicable facilities to provide education services and support
 - **Copy of one formal agreement**
 - Addressing the program provided by the LEA
 - Addressing the responsibilities of the facility



Title I, Part D Resources

- **TEA Title I, Part D**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/title-i-part-d-prevention-and-intervention-programs-for-children-and-youth-who-are-neglected-delinquent-or-at-risk>
- **Title I, Part D Program Guide**
 - <https://tea.texas.gov/sites/default/files/T1DProgramGuide.pdf>
- **Title I, Part D Frequently Asked Questions**
 - <https://tea.texas.gov/sites/default/files/T1DFAQ.pdf>
- **Best Practices for using Title I, Part D Funds**
 - <https://tea.texas.gov/sites/default/files/T1DBestPractices.pdf>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>



Break time!



Title II, Part A

Title II Reminders

- **Intent**

- Support educators in their work to improve the overall quality of instruction
- Ensure equity of educational opportunity for all students

- **Purpose**

- Increase student achievement consistent with the challenging State Academic standards
- Improve the quality and effectiveness of teachers, principals, and other school leaders
- Increase the number of teachers, principals, and school leaders who are effective in improving student academic achievement
- Provide low-income and minority students greater access to effective teachers, principals, and school leaders



Title II Reminders

- **PNP Equitable Services**

- <https://tea.texas.gov/finance-and-grants/grants/essa-program/essa-and-cares-act-esser-private-school-equitable-services>

- **Use Of Funds**

- <https://tea.texas.gov/sites/default/files/t2auseoffunds.pdf>

- **Prioritization of Funds**

- **Required Stakeholders**

- ☐ Teachers
- ☐ Principals
- ☐ Other school leaders*
- ☐ Paraprofessionals (including organizations representing such individuals)
- ☐ Specialized instructional support personnel**
- ☐ Charter school leaders (in an independent school district (ISD) that has in-district charter schools)
- ☐ Parents
- ☐ Community partners
- ☐ Other organizations or partners with relevant and demonstrated expertise in programs and activities designed to meet the purpose of Title II, Part A

** The term "specialized instructional support personnel" refers to the following school employees:

- school counselors,
- school language pathologists,
- school librarians,
- school nurses,
- school psychologists,
- school social workers, and
- other qualified professional personnel involved in providing assessment, diagnosis, counseling, educational, therapeutic, and other necessary services (including related services) as part of a comprehensive program to meet student needs.



Title II Reminders

- *Ongoing Consultation*

- **Coordination**

- ☐ LEAs are required to coordinate professional development activities authorized under Title II, Part A with professional development activities provided through other federal, state, and local programs.
- ☐ LEAs are required to coordinate activities authorized under Title II, Part A with other related strategies, programs, and activities being conducted in the community.

- **System of Professional Growth and Development**

LEAs are required to have a system of professional growth and improvement, such as induction for teachers, principals, or other school leaders and opportunities for building the capacity of teachers and opportunities to develop meaningful teacher leadership.

Establishing Program Requirement Compliance

- ☐ Include a statement in the District and/or Campus Improvement Plan that describes the system of professional growth and improvement.
- ☐ Retain documentation such as a locally developed professional development plan that includes a system of professional growth and improvement.



ESSA Random Validations – Title II, Part A

- Alignment with Challenging State Academic Standards
 - For *one* Title II-A activity, submit relevant pages of the most current DIP (or CIP, if the activity was campus-based) showing the description of the activity and alignment to state academic standards
 - Related activity documentation

6100 Payroll Costs	6200 Professional and Contracted Services	6300 Supplies and Materials	6400 Other Operating Costs
Possible Activities: Extra duty pay for Title II, Part A professional development outside of the regular school day/week, Paraprofessional pay (evidence from research requirement), Incentive pay, Substitute pay	Possible Activities: Title II, Part A professional development, Title II, Part A program development	Possible Activities: Supplies and materials for Title II, Part A professional development, Educator recruitment materials	Possible Activities: Professional development conferences, Off-site professional development
Examples of Activity Documentation: Training certificate, Sign in sheet, Job description, Extra duty pay request form, PD evaluation form/results, Training agenda, Training materials, Payroll documents	Examples of Activity Documentation: Executed contract, Statement of work, Quote, Purchase requisition, Invoice, Receipt, Sign in sheet, Training materials, Training agenda	Examples of Activity Documentation: Purchase requisition, Purchase order, Quote, Invoice, Receipt, Sign in sheet, Training materials, Training agenda, PD evaluation form/results	Examples of Activity Documentation: Travel requisition, Training certificates, Training agenda, Training materials, Out-of-state justification form, PD evaluation form/results

ESSA Random Validations – Title II, Part A

- Meaningful Consultation

- Relevant page(s) of the DIP that describe the planning and consultation processes
- *Meaningful Consultation* refers to a genuine opportunity to participate and provide input into the planning and decisions regarding the program.
- Documentation of meetings that provided Meaningful Consultation opportunities
 - Agendas, Participant Rosters, Meeting Minutes or Notes

- Prioritization of Funds

- Evidence of Prioritization of Title II, Part A Funds
 - Prioritization to campuses under School Improvement or those that have the highest percentage of Title I-A students
 - Campus listing that includes School Improvement status and percentage of economically disadvantaged students, along with Title II-A funds allotment, will work.

Campus Name	School Improvement (Y/N)	Poverty %	Title II, Part A
Campus A Elementary	N	76%	\$25,000
Campus B Middle	Y	70%	\$25,000
Campus C Elementary	N	50%	\$20,000
Campus D Middle	N	52%	\$20,000



Title II, Part A Resources

- **TEA Title II, Part A Webpage**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/title-ii-part-a-supporting-effective-instruction>
- **Title II, Part A Program Guide**
 - <https://tea.texas.gov/sites/default/files/T2AProgramGuide.pdf>
- **Title II, Part A Frequently Asked Questions**
 - <https://tea.texas.gov/sites/default/files/T2AFAQ.pdf>
- **Title II, Part A Use of Funds**
 - <https://tea.texas.gov/sites/default/files/t2auseoffunds.pdf>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>



Title IV, Part A

Title IV Reminders

- **Intent and Purpose**

- **Improve the academic achievement of all students** by increasing the capacity of LEAs, schools, and communities to
 - (1) provide all students with access to a **well-rounded education**,
 - (2) **improve school conditions** for student learning, and
 - (3) **improve technology use** in order to enhance academic outcomes and digital literacy of students.

- **PNP Equitable Services**

- <https://tea.texas.gov/finance-and-grants/grants/essa-program/essa-and-cares-act-esser-private-school-equitable-services>

- **Use Of Funds**

- <https://tea.texas.gov/sites/default/files/t4auseoffunds.pdf>



Title IV Reminders

- Required Stakeholders

During the design and development of the Title IV, Part A, Subpart 1 program, an LEA or consortium of LEAs must engage in consultation [ESSA, section 4106(c)] with stakeholders in the area served by the LEA.

Such stakeholders *must* include, but are not limited to, the following:

- Parents
- Teachers
- Principals
- Students
- School leaders
- Charter school teachers, principals, and other school leaders, (if such agency or consortium of such agencies operate in-district charter schools)
- Others with relevant and demonstrated expertise in programs and activities
- Specialized instructional support personnel
- Local government representatives
- Others with relevant and demonstrated expertise
- Community-based organizations
- Indian tribes or tribal organizations, when applicable

ESSA, Title VIII, Subpart A, Section 8101 (47)(A)(i-ii) defines specialized instructional support personnel as:

- (i) school counselors, school social workers, and school psychologists; and
- (ii) other qualified professional personnel, such as school nurses, speech language pathologists, and school librarians, involved in providing assessment, diagnosis, counseling, educational, therapeutic, and other necessary services (including related services as that term is defined in section 602 of the Individuals with Disabilities



Title IV Reminders

- **Ongoing Consultation**

An LEA or consortium of LEAs must continue to consult with the stakeholders identified above to improve the activities it conducts and coordinate implementation with other related activities conducted in the community. [\[ESSA, section 4106\(c\)\(2\)\]](#)

- **Comprehensive Needs Assessment**

For an LEA that receives at least \$30,000, conduct a comprehensive needs assessment prior to submitting its application and subsequent needs assessments at least once every three years. The comprehensive needs assessment must examine the LEA's needs for improvement of each of the following program content areas:

- Access to, and opportunities for, a well-rounded education for all students
- School conditions for student learning to create an environment that supports healthy and safe students
- Access to personalized learning experiences supported by technology and professional development for the effective use of data and technology.



Title IV Reminders

- **Three Content Areas**

- **Well-Rounded Education**

- If Title IV allotment of at least \$30,000, must use at least 20% in this area.

- **Safe & Healthy Students**

- If Title IV allotment of at least \$30,000, must use at least 20% in this area.

- **Improve Use of Technology**

- If Title IV allotment of at least \$30,000, must address this area.
 - No more than 15% of the funds expended in this area may be used to purchase *technology infrastructure*, including the purchase of:
 - Devices, equipment, and software to address academic readiness shortfalls
 - Blended learning software and platforms
 - Digital instructional resources
 - Initial PD activities
 - One-time information technology purchases

- For LEAs who receive less than \$30,000 in Title IV allotment, you are only required to address *one* of the three content areas.



ESSA Random Validations – Title IV, Part A

- Consultation

- Agendas and/or ongoing meeting notes from stakeholder sessions, focus groups, advisory committees, and/or district improvement team meetings
- Participant rosters / sign-in sheets from stakeholder consultation meetings
- *Documentation must reflect all Title IV-A required stakeholders and their identified roles*

- Evaluation of Program Effectiveness

- Relevant pages of the most current DIP, CIP, or other official auditable documentation that provides a description which includes:
 - The Title IV-A evaluation process
 - How the LEA will periodically evaluate effectiveness
 - Title IV-A program objectives
 - Title IV-A intended outcomes of funded programs and/or activities

- Description of Funded Programs and Activities

- Relevant page(s) of the most current DIP or other official auditable documentation providing a *detailed* description of how Title IV-A funds will be used for activities supporting *one* of the content areas (well-rounded education, safe and healthy students, or the effective use of technology)



Title IV, Part A Resources

- **TEA Title IV, Part A Webpage**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/title-iv-part-a-student-support-and-academic-enrichment>
- **Title IV, Part A Program Guide**
 - <https://tea.texas.gov/sites/default/files/T4AProgramGuide.pdf>
- **Title IV, Part A Frequently Asked Questions**
 - <https://tea.texas.gov/sites/default/files/T4AFAQ.pdf>
- **Title IV, Part A Use of Funds**
 - <https://tea.texas.gov/sites/default/files/t4auseoffunds.pdf>
- **School Safety Statewide Initiative**
 - <https://www.esc14.net/page/t4si>
- **Texas School Safety Center**
 - <https://txssc.txstate.edu/>
- **TEA Statewide Training Series**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>



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